

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

P/3013-13

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/030,530

INTERNATIONAL APPLICATION NO.

PCT/EP01/04586

INTERNATIONAL FILING DATE

24 April 2001

PRIORITY DATE CLAIMED

9 May 2000

TITLE OF INVENTION

SHAFT DRIVE UNIT, IN PARTICULAR AN ELECTRICAL DRIVE UNIT FOR  
DRIVING A WHEEL SHAFT WITH A TRANSVERSE SHAFT STRUCTURE

APPLICANT(S) FOR DO/EO/US

WOLFRAM Angerer and Uwe Muhlberger

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with 37 CFR 1.82.
18. ☐ A second copy of the published international application and English language translation of the international application.
19. ☐ A second copy of the English language translation of the international application.
20. ☐ Other items or information:

## EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addresses (mail label EL611016759US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on April 15, 2002.

Dorothy Jenkins

Name of Person Mailing Correspondence

Signature

April 15, 2002

Date of Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/030,530</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP/01/04586</b>	ATTORNEY'S DOCKET NUMBER <b>P/3013-13</b>
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>CALCULATIONS PTO USE ONLY</b>																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$	130.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;">\$</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">- 20 =</td> <td></td> <td style="text-align: center;">x \$18.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td style="text-align: center;">x \$84.00</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ \$280.00</td> <td></td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total claims	- 20 =		x \$18.00		Independent claims	- 3 =		x \$84.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																		
Total claims	- 20 =		x \$18.00																			
Independent claims	- 3 =		x \$84.00																			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00																			
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$																			
<b>SUBTOTAL =</b>			\$																			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$																			
<b>TOTAL NATIONAL FEE =</b>			\$																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	40.00																		
<b>TOTAL FEES ENCLOSED =</b>			\$	170.00																		
04/24/2002 MALI11 00000004 10030530 01 FC:154 130.00 OP			Amount to be refunded:	\$																		
			charged:	\$																		

a. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed. Check No. 09118

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
 information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
**OSTROLENK, FABER, GERB & SOFFEN, LLP**  
 1180 Avenue of the Americas  
 New York, NY 10036-8403

**Tel: (212) 382 0700**

SIGNATURE  
Robert C. Faber  
 NAME  
24,322  
 REGISTRATION NUMBER

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Wolfram ANGERER et al

Serial No.: 10/030,530

Filed: January 9, 2002

Int'l. Appl. No.: PCT/EP01/04586

Date: April 15, 2002

Group Art Unit: not yet known

Examiner: not yet known

Int'l. Filing Date: April 24, 2001

For: SHAFT DRIVE UNIT, IN PARTICULAR AN ELECTRICAL DRIVE UNIT FOR  
DRIVING A WHEEL WITH A TRANSVERSE SHAFT STRUCTURE

U.S. Patent &amp; Trademark Office

P.O. Box 2327

Arlington, VA 22202

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

In response to the Notification of Missing Requirements, mailed March 27, 2002, submitted herewith is the Inventors' Declaration and our Check No. 09118 which includes the amount of \$130.00 in payment of the surcharge.

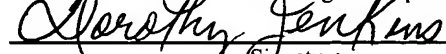
In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account #15-0700.

If this communication is filed after the time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trade-marks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE  
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail to Addressee (mail label #EL611016759US) in an envelope addressed to: U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202, on April 15, 2002:

Dorothy Jenkins

Name of Person Mailing Correspondence

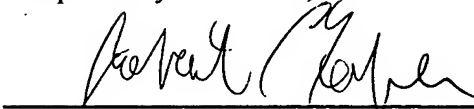


Signature

April 15, 2002

Date of Signature

Respectfully submitted,



Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB &amp; SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

RCF:sds

Encl.



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,530	Wolfram Angerer	P/3013-13

INTERNATIONAL APPLICATION NO.
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PCT/EP01/04586

I.A. FILING DATE	PRIORITY DATE
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04/24/2001

05/09/2000

Robert C Faber  
 Ostrolenk Faber Gerb & Soffen  
 1180 Avenue of the Americas  
 New York, NY 10036-8403

CONFIRMATION NO. 4126

371 FORMALITIES LETTER



\*OC000000007713477\*

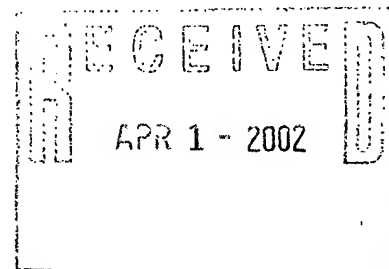
Date Mailed: 03/27/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

OFGS FILE No.	P/3013-13
	27 MAY 2002
PATENTS ORDERED	LB



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,530	PCT/EP01/04586	P/3013-13

FORM PCT/DO/EO/905 (371 Formalities Notice)